



Automatic Abstraction in Reinforcement Learning Using Ant System Algorithm

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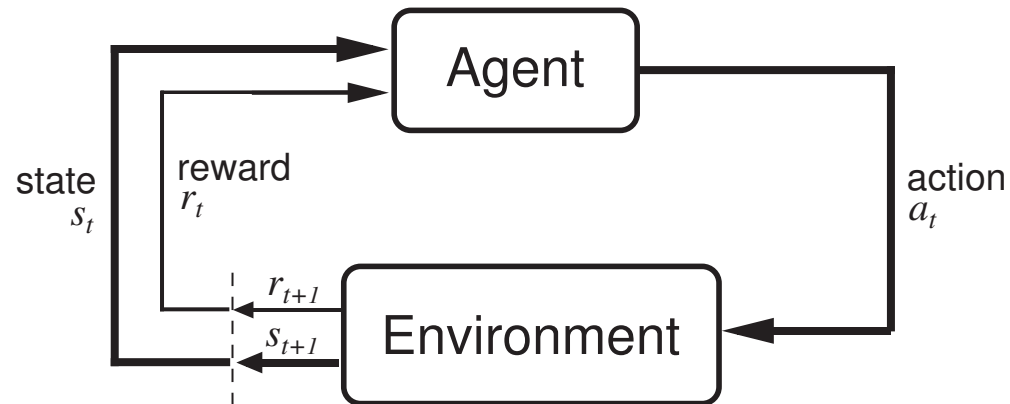


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Reinforcement Learning



- Action Selection based on agent policy:
- Agent's goal:
 - Maximizing cumulative discounted reward:

$$\pi : S \times A \rightarrow [0, 1]$$

$$R = E \left\{ \sum_{t=0}^{\infty} \gamma^t r_t \right\}$$

- Approximation of Action-value function:

$$\hat{Q}(s, a) = (1 - \alpha)\hat{Q}(s, a) + \alpha \left[R(s, a) + \gamma \max_{a' \in A} \hat{Q}(s', a') \right]$$



Reinforcement Learning

Q Learning Algorithm:

Input: (α, γ)

Initialize $\hat{Q}(s, a)$ randomly

Repeat for each episode

 indicate policy π using \hat{Q}

 initialize s

 Repeat

 choose action a considering policy π

 do action a and observe reward $R(s, a)$ and next state s'

$$\hat{Q}(s, a) \leftarrow (1 - \alpha)\hat{Q}(s, a) + \alpha \left[R(s, a) + \gamma \max_{a' \in A} \hat{Q}(s', a') \right]$$

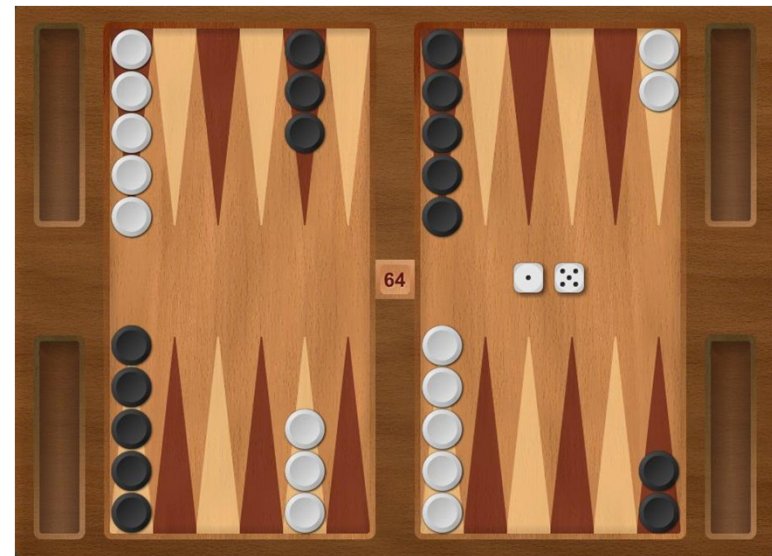
 until s is a final state.



Reinforcement Learning

Back gammon Environment:

- Number of states: about 10^{20}
- Number of learning episodes until convergence: 1.5 millions!





Hierarchical Reinforcement Learning

In enormous environments, use abstraction:

- State Abstraction
- Temporal Abstraction





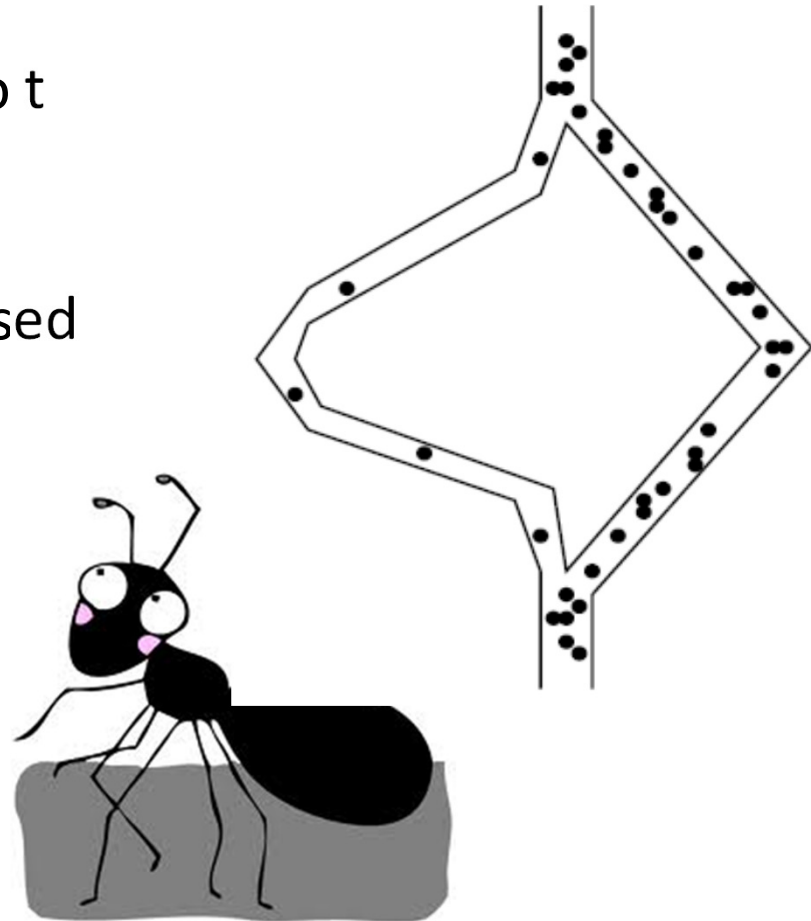
Hierarchical Reinforcement Learning

Option Framework:

- Formal description of macro actions.
- Option is a sorted tuple: $o = (I, \pi, \beta)$
 - I : Set of states that o is permitted on.
 - π : Agent's policy, $\pi: S \times A \rightarrow [0, 1]$
 - $\beta(s)$: Function to indicate episode finishing.
- Primitive actions as options
 - I : the state action is permitted on.
 - $\pi(s, a) = 1$
 - $\beta(s) = 1$

Ant Colony Optimization

- Ant System
 - Find Shortest path from s to t
 - n_t episodes
 - n_k ants
 - Stochastic path creation based on pheromone values.





Ant Colony Optimization – Ant System

– Path generation

$$p_{ij}^k(t) = \begin{cases} \frac{\alpha\tau_{ij}(t) + (1 - \alpha)\eta_{ij}(t)}{\sum_{j \in N_i^k(t)} \alpha\tau_{ij}(t) + (1 - \alpha)\eta_{ij}(t)} & \text{if } j \in N_i^k(t) \\ 0 & \text{if } j \notin N_i^k(t) \end{cases}$$

– Evaporation:

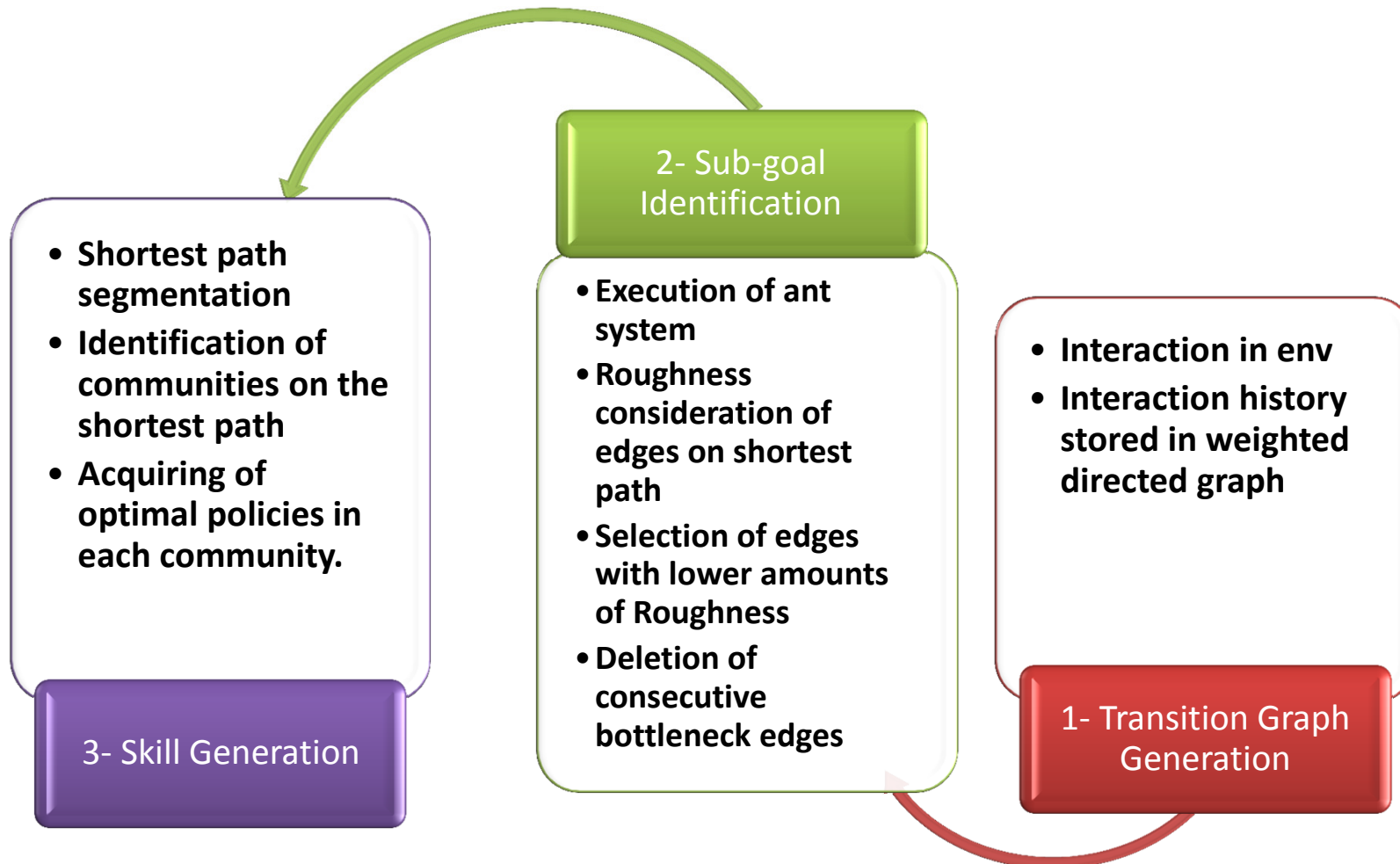
$$\tau_{ij}(t + 1) = (1 - \rho)\tau_{ij}(t)$$

- Pheromone deposit:

$$\Delta\tau_{ij}^k(t) \propto \frac{1}{L^k(t)}$$

– Use taboo list.

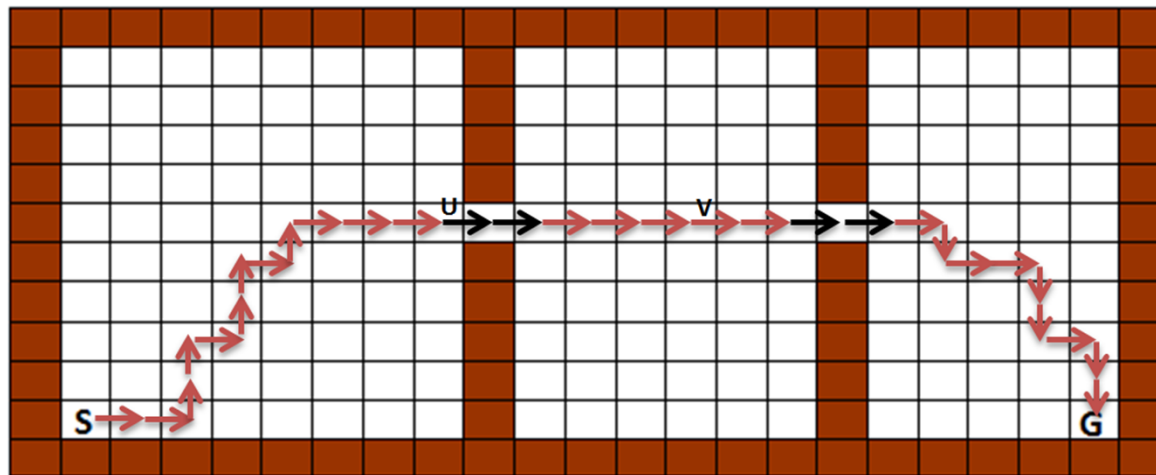
Proposed Method – Bird's Eye View





Proposed Method- Sub-goal Identification

Execution of ant system on transition graph

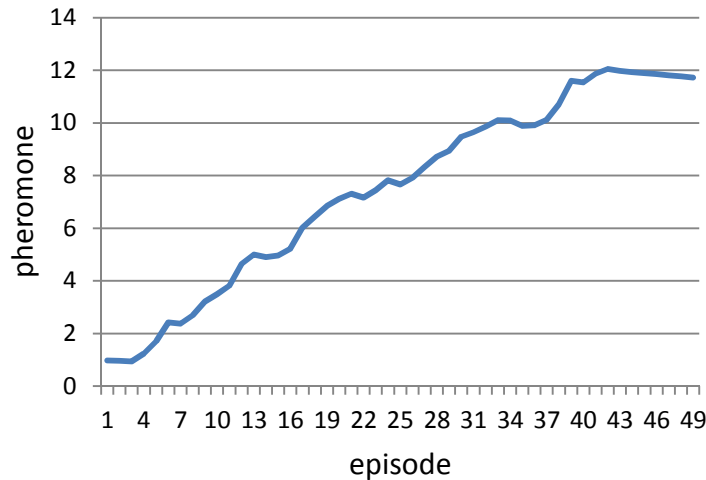


- Regular participation of u in generated shortest paths
- Irregular participation of v in generated shortest paths

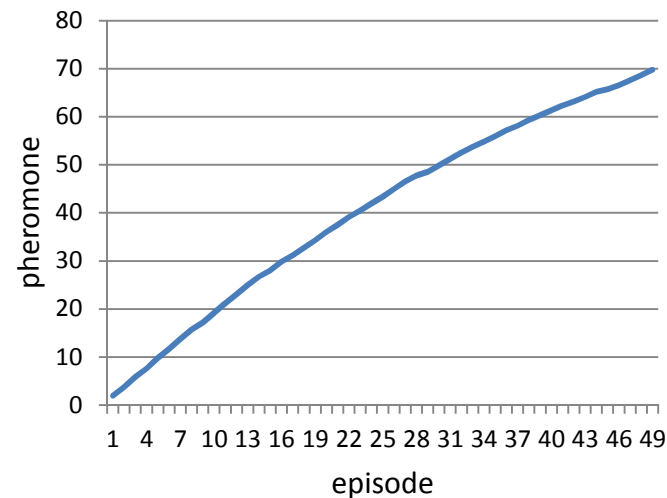


Proposed Method- Sub-goal Identification

- Comparison of pheromone values of u and v during ant system



Variation of
pheromone values
of v in time



Variation of
pheromone values
of u in time



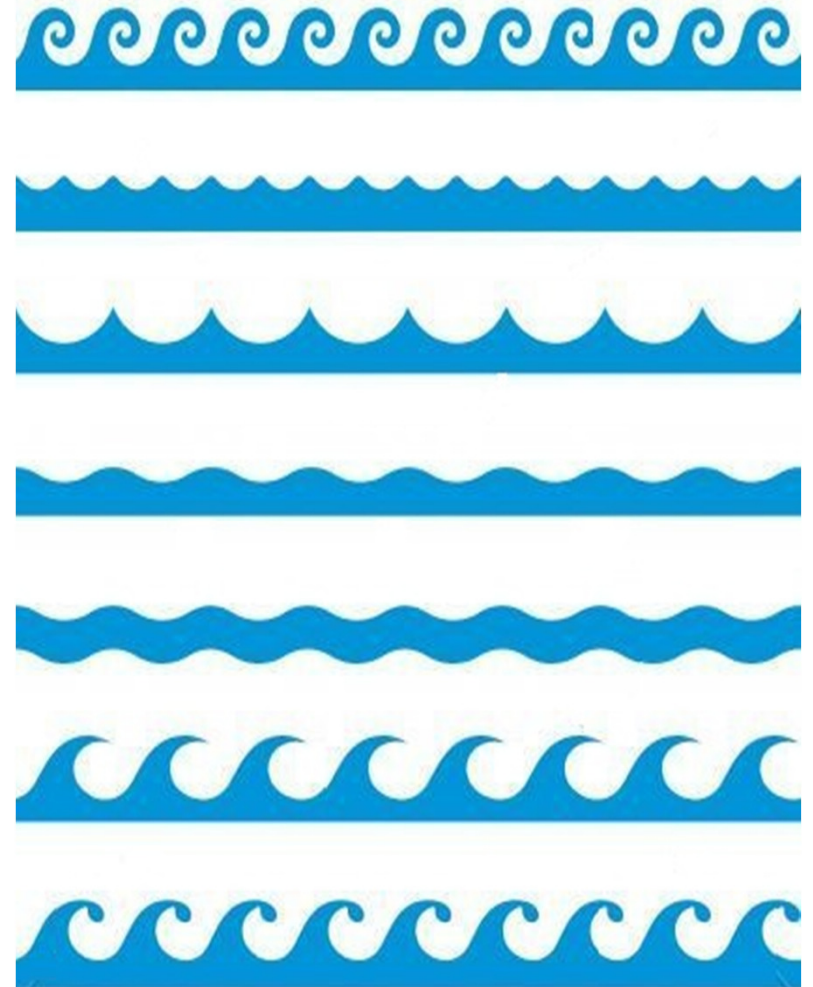
Proposed Method- Sub-goal Identification

Proposed Criteria for separation of these edges, called

Roughness:

$$- R_F = \frac{\sigma_M^2}{(\max_i F_i - \min_i F_i)^2}$$

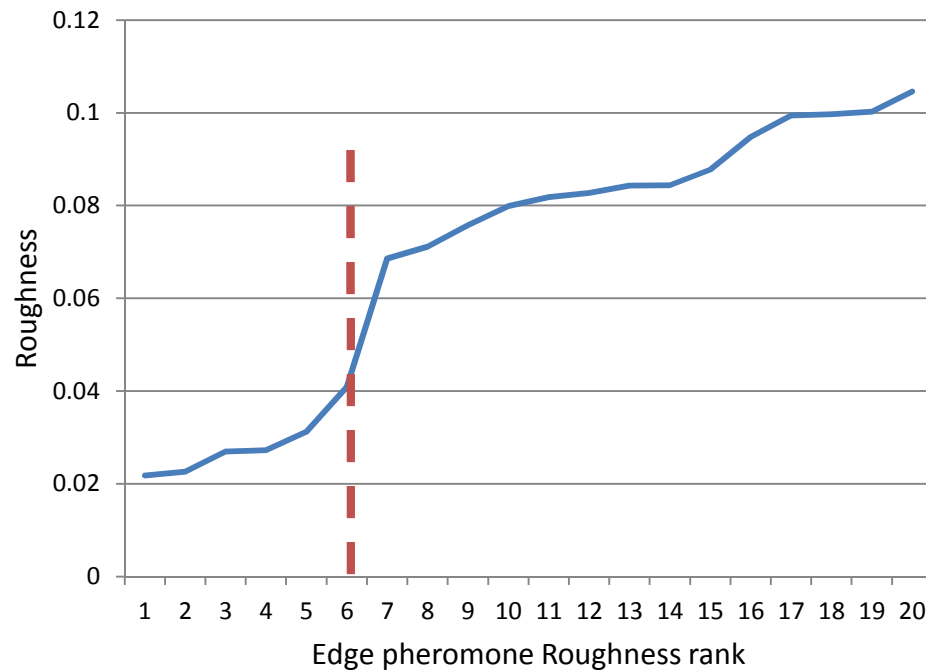
Where $M_i = F_{i+1} - F_i$





Proposed Method- Sub-goal Identification

- Sorting shortest path edges based on pheromone values



Edge roughness values based on edge pheromone Roughness rank



Proposed Method- Sub-goal Identification

- Separation of bottleneck edges:
 - Using threshold values:
 - For pheromone values: τ_v
 - For pheromone increase slope: τ_d

b is the rank border between bottleneck and non-bottleneck edges iff:

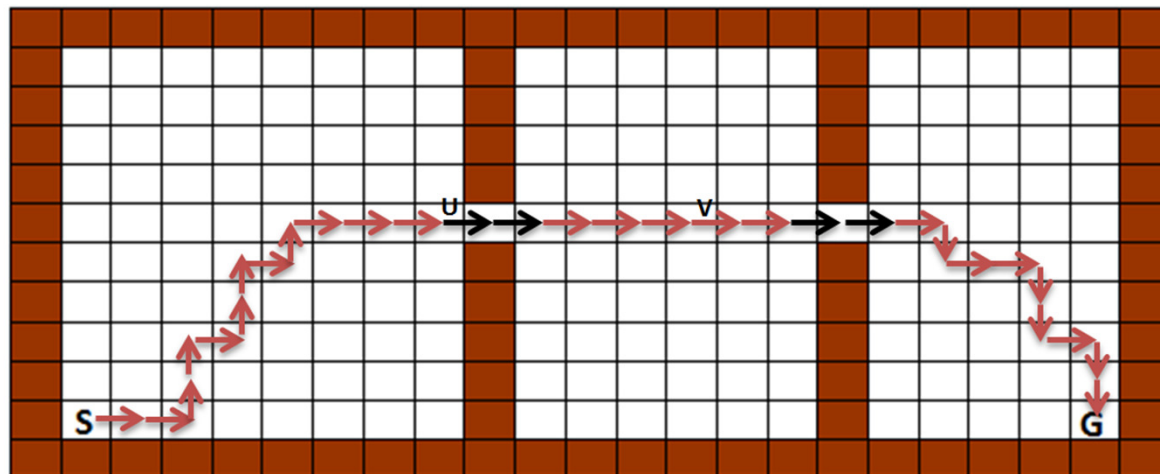
$$Fail(b) = true \text{ and } \forall i < b: Fail(i) = false$$

$$Fail(i) = (d_i > \tau_d \cdot d_{init} \text{ or } v_i > \tau_v \cdot v_0)$$



Proposed Method- Sub-goal Identification

- Removing consecutive bottleneck edges.
- Getting vertices on bottleneck edges as final sub-goals.





Proposed Method- Sub-goal Identification

- Sub-goal discovery algorithm

Algorithm 1 The proposed method for sub-goal detection

```
1: Input:  $(n_k, t_d, \alpha, \rho, \tau_d, \tau_v)$ 
2: Output: SubGoals: a list of sub-goals
3: Run Ant System  $(\tau_d, \alpha, \rho)$  and have  $SP$  with shortest path.
4: Sort  $SP$  increasingly according to field  $R_P$ .
5:  $v_0 \leftarrow SP[0].R_P$ 
6: for  $i \leftarrow 1$  to  $\text{length}(SP)$  do
7:    $d_{init} \leftarrow SP[i].R_P - SP[i - 1].R_P$ 
8:   if  $d_{init} \neq 0$  then
9:     exit the loop.
10:  end if
11: end for
12: for  $b \leftarrow 1$  to  $\text{length}(SP)$  do
13:   if  $(SP[b].R_P - SP[b - 1].R_P > \tau_d \cdot d_{init}) \vee$   

    $(SP[b].R_P > v_0 \cdot \tau_v)$  then
14:     exit the loop.
15:   end if
16: end for
17: for Adjacent :adjacent set of edges in  $SP[0 \dots b - 1]$  do
18:    $best \leftarrow \text{argmin}_i \{ \text{Adjacent.Edges}[i].R_P \}$ 
19:   SubGoals.add(Adjacent.Edges[best].head)
20: end for
```



Proposed Method- Sub-goal Identification

- Incremental variance calculation:

$$\sigma_n^2 = \frac{\sum_{i=1}^n (x_i - \bar{X}_n)^2}{n} = \frac{y_n}{n}$$

$$y_n = \sum_{i=1}^n (x_i - \bar{X}_n)^2 = \sum_{i=1}^n x_i^2 + n\bar{X}_n^2 - 2\bar{X}_n \sum_{i=1}^n x_i$$

$$y_n = \sum_{i=1}^n x_i^2 + n\left(\frac{S_n}{n}\right)^2 - 2\frac{S_n}{n}S_n = \sum_{i=1}^n x_i^2 - \frac{S_n^2}{n}$$

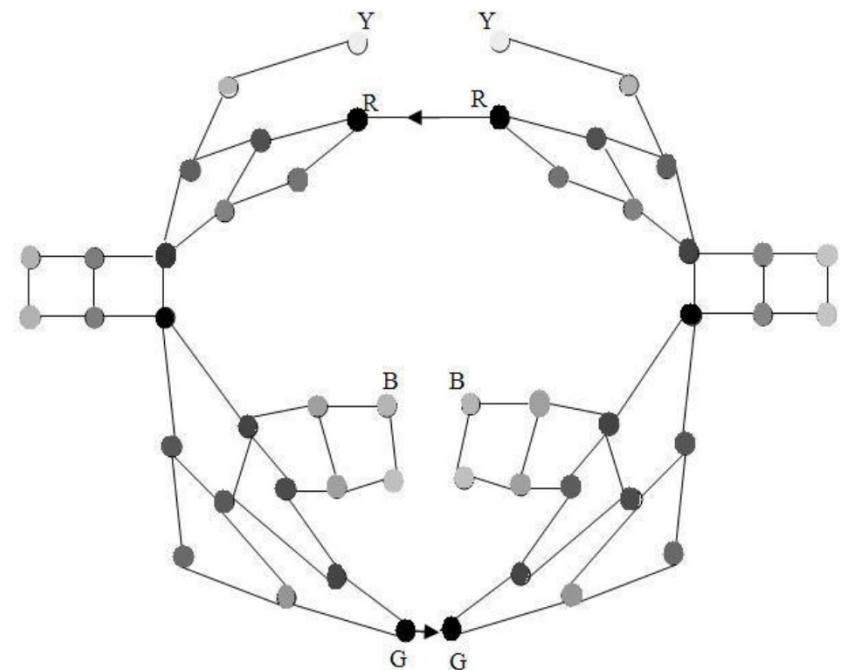
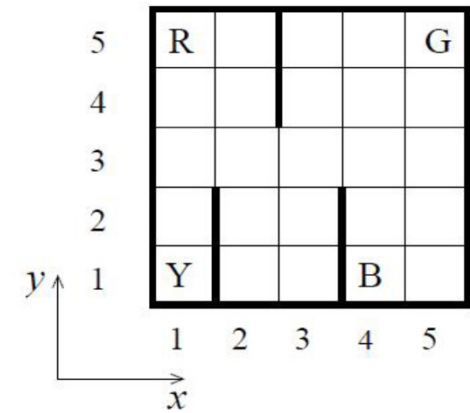
$$y_{n+1} = \sum_{i=1}^{n+1} x_i^2 - \frac{S_{n+1}^2}{n+1}$$

$$y_{n+1} = y_n + x_{n+1}^2 - \left(\frac{S_{n+1}^2}{n+1} - \frac{S_n^2}{n} \right)$$



Environments

- Taxi Environment
 - Goal: take person to destination
 - Actions:
 - Movement in 4 directions
 - Take passenger in taxi
 - Take passenger out of taxi
 - Reward
 - +10: taking the passenger in taxi
 - +20: take passenger out in destination
 - -1: every other action



Environments

- Playroom environment

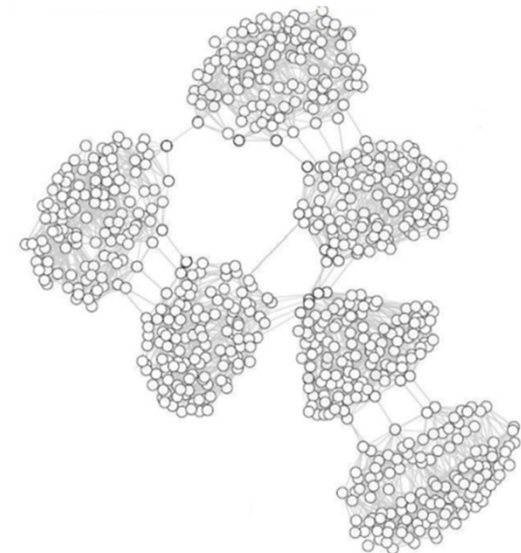
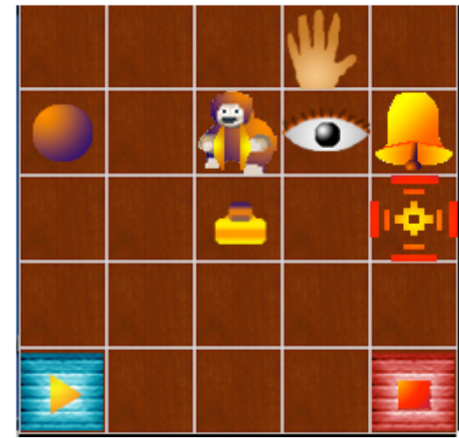
- Goal: making monkey scream

- Actions:

- 1) look at a random object
- 2) look at object at hand
- 3) hold object it is looking at
- 4) look at object marker is placed on
- 5) place marker on object it is looking at
- 6) move object in hand to location it is looking at
- 7) turn over light switch
- 8) press music button
- 9) hit ball toward the marker.

- Rewards:

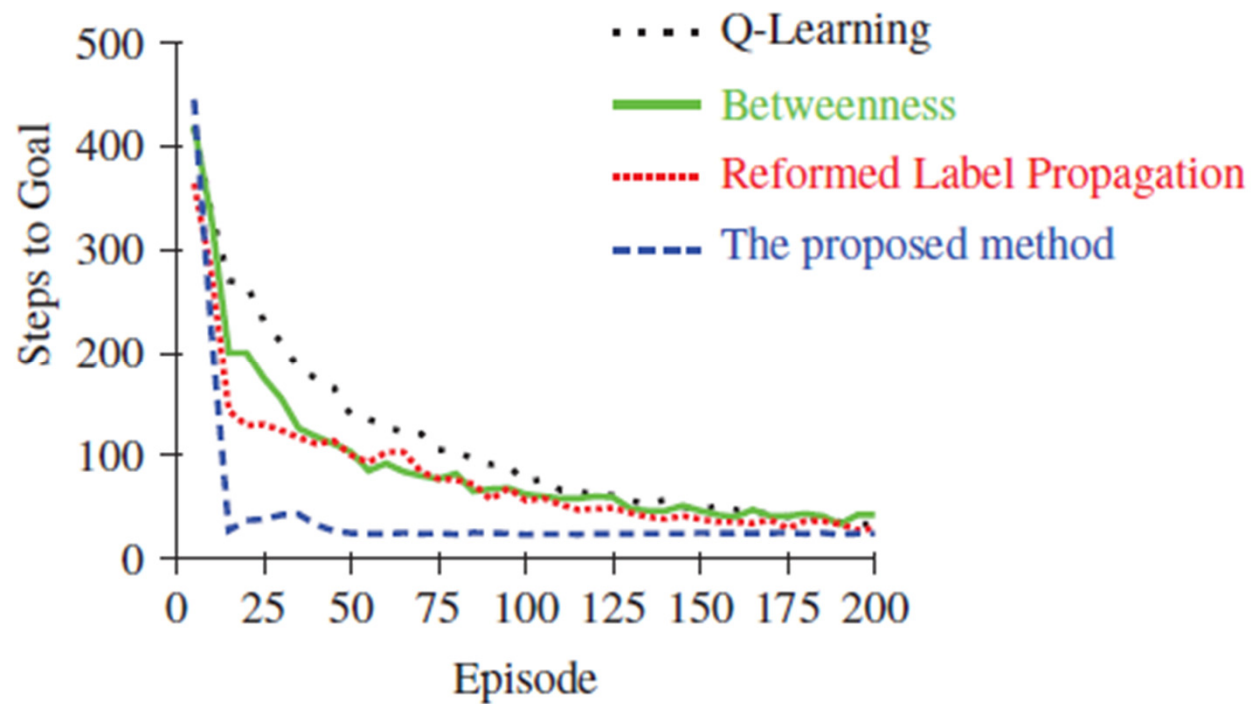
- +1000: reaching the goal
- -1: every other action





Experimental Results

- Taxi environment

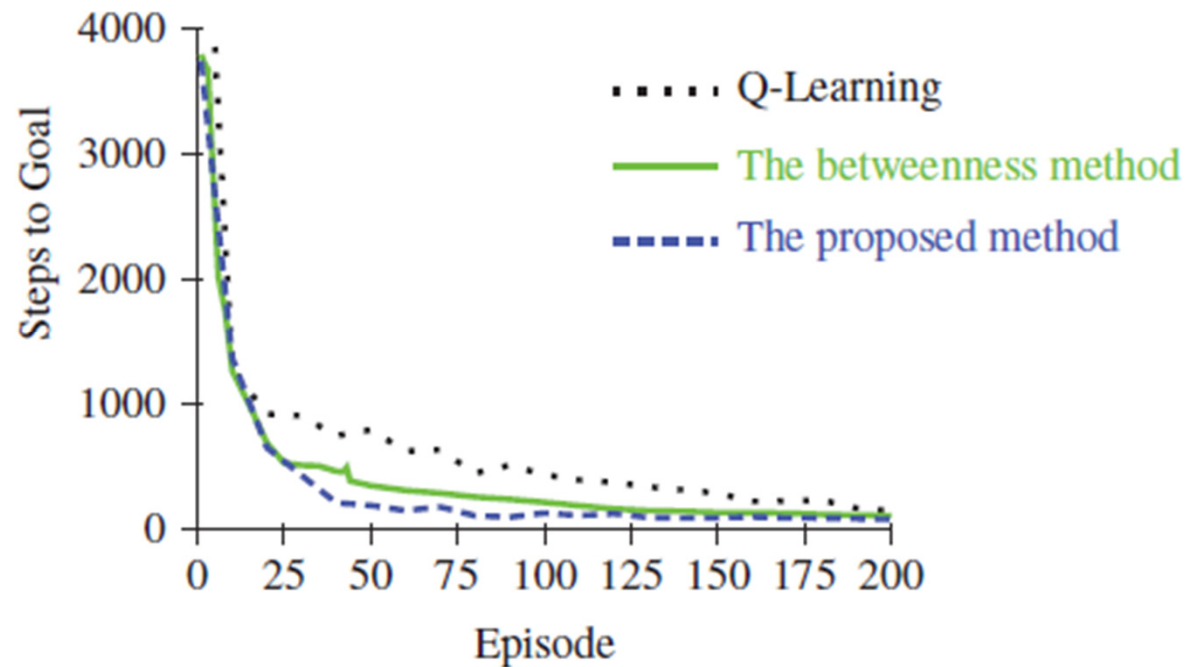


$$n_t = 10, n_k = 25, \alpha = 0.9, \rho = 0.98, \tau_v = 1.01, \tau_d = 1.5$$



Experimental Results

- Playroom Environment



$$n_t = 200, n_k = 10, \alpha = 0.9, \rho = 0.98, \tau_v = 2.0, \tau_d = 1.5$$



References

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